

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors : CORNELIS MARINUS HUIZER

Serial No. : 10/082,857

Confirmation No. : 4953

Filing Date : OCTOBER 19, 2001


Group Art Unit : 2134

Examiner : AUBREY WYSZNSKI

For: RENDERING DEVICE FOR EMBEDDING INFORMATION FROM A VIDEO  
SIGNAL INTO AN AUDIO SIGNAL

**APPEAL BRIEF  
On Appeal from Group Art Unit 2134**

Date: May 21, 2007

By: 

Brian S. Myers  
Attorney for Appellant  
Reg. No. 46,947

For: Larry Liberchuk,  
Reg. No. 40,352  
Senior IP Counsel  
Philips Electronics N.A. Corporation  
914-333-9602

**TABLE OF CONTENTS**

I.	REAL PARTY IN INTERST .....	3
II.	RELATED APPEALS AND INTERFERENCES.....	3
III.	STATUS OF CLAIMS.....	3
IV.	STATUS OF AMENDMENTS.....	3
V.	SUMMARY OF CLAIMED SUBJECT MATTER.....	4
VI.	GROUND OF REJECTION TO BE REVIEWED ON APPEAL.....	4
VII.	ARGUMENT.....	4
VIII.	CLAIMS APPENDIX.....	9
IX.	EVIDENCE APPENDIX.....	12
X.	RELATED PROCEEDINGS APPENDIX.....	12

## **I. REAL PARTY IN INTEREST**

The real party in interest is Koninklijke Philips Electronics N.V., the assignee of record.

## **II. RELATED APPEALS AND INTERFERENCES**

Appellant is not aware of any pending appeals, judicial proceedings, or interferences which may be related to, directly affect, be directly affected by, or have a bearing on the Board's decision in the pending appeal.

## **III. STATUS OF CLAIMS**

- a) Claims 1-15 are pending.
- b) Claims 1-7 stand rejected and are the subject of this appeal.
- c) Claims 8-15 are allowed.

## **IV. STATUS OF AMENDMENTS**

The claims listed in section "VIII. Claims Appendix" of this Appeal Brief correspond to the claims submitted and amended in Appellant's response of September 11, 2006. These claim amendments were entered by the Examiner as indicated in the final Office Action of November 29, 2006. No claim amendments have been submitted following Appellant's response of September 11, 2006.

## **V. SUMMARY OF CLAIMED SUBJECT MATTER**

The claimed invention, as recited in claim 1, is directed to a rendering device (110, Figs. 1 and 2, page 6, lines 27-33; page 4, lines 1-20; page 6, lines 3-11). The rendering device includes a receiving means for receiving a content item comprising at least a video portion, in which portion extra information is embedded (111, Figs. 1, page 4, lines 2-20; page 6, lines 3-11), decoding means for decoding the extra information from the video portion (112, Figs. 1, page 4, lines 2-4 and lines 12-20; page 6, lines 3-11), embedding means for embedding the extra information in an audio signal (113, Figs. 1, page 4, lines 2-4 and lines 19-28; page 5, lines 13-18; page 6, lines 3-11), and rendering means for rendering the audio signal, wherein said video portion is of a different type from said audio signal (114, Figs. 1, page 4, lines 2-4; page 5, lines 13-21; page 6, lines 3-11).

## **VI. GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL**

Whether claims 1-7 are properly rejected under 35 U.S.C. §101 as directed to non-statutory subject matter.

## **VII. ARGUMENT**

Appellant respectfully traverses the rejection in accordance with the detailed arguments set forth below.

**A. Claims 1-7 are not properly rejected under 35 U.S.C. § 101 as being directed to non-statutory subject matter.**

### **1. Claim 1**

With regard to independent claim 1, appellant respectfully submits that the Office has not established a *prima facie* case setting forth on the record why the invention is not eligible subject matter, as is required by MPEP 2106 IV.

In the final Office Action, paragraph 6, the Examiner argues that the claims lack a structural relationship [causing them] to be entirely implemented in software and not [to] include an underlying medium. The Examiner points to page 3, lines 31-33 of appellant's specification to support this assertion.

Appellant previously pointed out that it is improper to read descriptions of illustrative embodiments into the claims as limitations. The language of the specification including "some features" and "are typically" is in fact permissive language in that it describes a situation where the elements may be implemented in software, but does not require it.

Appellant submits that that Examiner has not considered the totality of the evidence as required by the MPEP 2106 which recites: "USPTO personnel should review the totality of the evidence (e.g., the specification, claims, relevant prior art) before reaching a conclusion with regard to whether the claimed invention sets forth patent eligible subject matter. USPTO personnel must weigh the determinations made above to reach a conclusion as to whether it is more likely than not that the claimed invention as a whole either falls outside of one of the enumerated statutory classes or within one of the exceptions to statutory subject matter." (emphasis added).

Claim 1, the rendering device, is clearly directed to patentable subject matter. Examples of the rendering device are set forth in appellant's specification. For example, page 6, lines 27-31 describes: "Using a network operator, the content is transported over distribution network 203

to the rendering device 110, which can be realized as a set-top box at a consumer's house, but can also be a public address system in any location. The rendering device 110 is arranged to play out the content over audio output module 115 and video output module 116.” (Emphasis added).

These examples, a set-top box and public address system, are patentable subject matter. One skilled in the art would readily understand that either of these examples, for example the set-top box, is not “entirely implemented in software” as argued in paragraph 6 of the final Office Action. One skilled in the art would certainly understand that a set-top box typically includes components such as a microprocessor/controller, memory, customer components, discrete components, a receiver for receiving input signals, an output unit for providing transformed input signals to output devices, such as video 118 or audio 115. A person of ordinary skill in the art would readily appreciate that the modules of the rendering device, may be implemented in software, hardware, or combinations thereof, and accordingly, the elements of the claims should be understood as such.

Furthermore, as pointed out by the Examiner, appellant's specification describes, on page 3, lines 31-11, that some of the features are typically implemented in software (emphasis added). Appellant's specification uses language which is not limited to software implementation and does not require it.

In the “Response to Arguments,” paragraph 4 of the final Office Action, the Examiner argues that applicant does not point to a description in the specification of the various means recited in the claims. However, one skilled in the art would recognize the various embodiments of the receiving module 111. For example, as described in the specification, the receiving module may be implemented in a set-top box to receive content, for instance a television program, a movie, a video clip, etc, which is typically received from the Internet, a satellite feed,

home network, broadcast organization, or local storage. One skilled in the would readily implement such a receiving module in hardware or combination of microprocessor/controller, memory as typically found in a set-top box. One skilled in the art also readily understands the invention can be implemented in Programmable Array Logic devices or any of the multitude of available programmable or custom devices which maybe typically included in a hardware device such as a set-top box or PA system.

It is respectfully submitted that the Examiner has not considered the totality of the evidence, including the specification, claims, and relevant prior art before reaching a conclusion with regard to whether the claimed invention sets forth patent eligible subject matter. Clearly the present claims are more likely than not to be within the enumerated statutory classes of patentable subject matter.

In view of the foregoing, the Office has not met its requisite threshold of burden in establishing a *prima facie* setting forth on the record why the invention is not eligible subject matter. Accordingly, appellant respectfully requests reversal of the rejection of claim 1.

## **2. Claims 2-7**

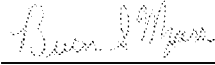
Claims 2-7 depend from claim 1 and are directed to the rendering device. These claims are likewise directed to patentable subject matter and the rejection of these claims should be reversed.

**CONCLUSION**

In light of the above, appellant respectfully submits that the rejection of claims 1-7 is in error, legally and factually, and must be reversed.

Respectfully submitted,

Date: May 21, 2007

By: 

Brian S. Myers  
Attorney for Appellant  
Reg. No. 46,947

For: Larry Liberchuk,  
Reg. No. 40,352  
Senior IP Counsel  
Philips Electronics N.A. Corporation

Please direct all future correspondence to:  
Larry Liberchuk, Esq.  
Senior IP Counsel  
Philips Intellectual Property & Standards  
P.O. Box 3001  
Briarcliff Manor, NY 10510-8001  
Phone: (914) 333-9602  
Fax: (914) 332-0615  
Email: larry.liberchuk@philips.com



## **VIII. CLAIMS APPENDIX**

1.(previously presented): A rendering device comprising:

receiving means for receiving a content item comprising at least a video portion, in which portion extra information is embedded,

decoding means for decoding the extra information from the video portion,

embedding means for embedding the extra information in an audio signal, and

rendering means for rendering the audio signal, wherein said video portion is of a different type from said audio signal.

2.(previously presented): A rendering device as claimed in claim 1, whereby the extra information is embedded in the video portion by means of a video watermark.

3.(previously presented): A rendering device as claimed in claim 1, wherein the embedding means are arranged for embedding the extra information in the audio signal by means of an audio watermark.

4.(previously presented): A rendering device as claimed in claim 1, whereby the audio signal is an audio portion of the content item.

5.(previously presented): A rendering device as claimed in claim 1, wherein the embedding means are arranged for obtaining the audio signal from a storage medium.

6.(previously presented): A rendering device as claimed in claim 1, wherein the embedding means are activated in response to a user action.

7.(previously presented): An arrangement for distributing a content item, comprising:

a content provider arranged for embedding extra information in a video portion of the content item and for making the content item with said embedded extra information available for reception by a rendering device, wherein

the rendering device comprises:

a receiver configured to receive the content item,

a decoder configured to decode the extra information from the video portion,

an embedding module configured to embed the extra information in an audio signal, and

a rendering module configured to render the audio signal, wherein said video portion is of a different type from said audio signal.

8.(previously presented): A computer program product stored on a computer readable medium, the computer program product being arranged for causing a processor to execute the steps of:

receiving a content item comprising at least a video portion, in which portion the extra information is embedded,

decoding the extra information from the video portion,

embedding the extra information in an audio signal, and

rendering the audio signal, wherein said video portion is of a different type from said audio signal.

9.(previously presented): The computer program product of claim 8, wherein the extra information is embedded in the video portion by means of a video watermark.

10.(previously presented): The computer program product of claim 8, wherein the embedding means are arranged for embedding the extra information in the audio signal by means of an audio watermark.

11.(previously presented): The computer program product of claim 8, wherein the audio signal is an audio portion of the content item.

12.(previously presented): The computer program product of claim 8, wherein the embedding means are arranged for obtaining the audio signal from a storage medium.

13.(previously presented): The computer program product of claim 8, wherein the embedding means are activated in response to a user action.

14.(previously presented): A device comprising:

a receiver configured to receive a content item comprising at least a video portion, in which portion extra information is embedded,

a decoder configured to decode the extra information from the video portion, and

an embedding device configured to embed the extra information in an audio signal,

wherein said video portion is of a different type from said audio signal.

15.(previously presented): The device of claim 14, comprising a rendering module configured to render the audio signal.

#### **IX. EVIDENCE APPENDIX**

No evidence has been submitted pursuant to §§ 1.130, 1.131, or 1.132 of this title nor any other evidence entered by the examiner and relied upon by appellant in the appeal.

#### **X. RELATED PROCEEDINGS APPENDIX**

Appellant is not aware of any appeals or interferences related to the present application.